

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SEAGREN et al.

Docket No: 20206-118 (P99-2579)

Serial No: 09/870,029

Group Art Unit 2152

Filed: May 30, 2001

Examiner: UNKNOWN

For: "Scalable Java Servers for Network Server Applications"

BOX: IDS

Commissioner for Patents

Washington, D.C. 20231

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**TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT**

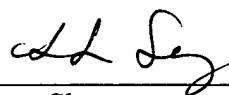
Enclosed for filing in the above-identified application are the following documents:

1. Information Disclosure Statement;
2. IDS Form PTO-1449, including 9 cited references, and
3. Return Postcard.

The Commissioner is authorized to charge any required fees, or credit any overpayment to Deposit Account No. 02-3964.

Respectfully submitted,

Dated: February 19, 2002

  
\_\_\_\_\_  
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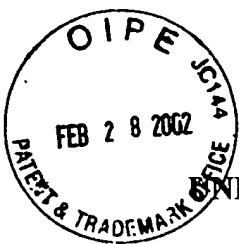
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**CERTIFICATE OF MAILING (37 CFR 1.8a)**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on the date shown below, with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C., 20231.

Date: February 19, 2002

  
\_\_\_\_\_  
D'Evelyn R. Moran



PATENT

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INFORMATION DISCLOSURE STATEMENT

Applicant submits herewith the references listed on the attached form PTO-1449 of which Applicant is aware and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.


The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), nor as an admission that the information cited is, or is considered to be, material to patentability, nor an admission that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

If fee is due under 37CFR 1.17(p) the Commissioner is hereby authorized to charge deposit account number 02-3964.

Respectfully submitted,

Date: February 19, 2002


  
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Leah Sherry  
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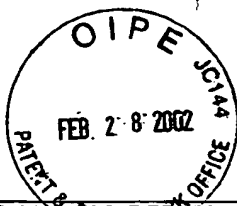
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Date February 19, 2002

By:   
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D'Evelyn R. Moran



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY DOCKET NO. 20206-118 (P99-2579)	SERIAL NO. 09/870,029
	APPLICANT SEAGREN et al.	
	FILING DATE May 30, 2001	GROUP 2152
	<b>RECEIVED</b> MAR 06 2002 Technology Center 2100	

## U. S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,128,657	10/00	OKANOYA et al.	709	224	
	AB	5,459,837	10/95	CACCAVALE	395	184.01	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	CLASS	SUBCLASS	TRANSLATION YES NO
	AC	WO 00/28424	05/00	EP	JACOBS et al.			
	AD	WO 99/53415	10/99	EP	WOLFF			
	AE	WO 01/33328 A2	05/01	EP	MURPHY et al.			
	AF	JP 9218842 A	8/97	JP	OKANOYA et al.			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AG		Guo, Y., et al., "Developing a Distributed Scalable Enterprise JavaBean Server," <i>8<sup>th</sup> International Conference, High Performance Computing and Networking</i> , (May 2000), Vol. 1823, pp. 207-216, ISSN 0302-9743
	AH		Codella, C.F., et al. "Support for Enterprise JavaBeans in Component Broker," <i>IBM Systems Journal</i> , (1998), Vol. 37, No. 4, pp. 502-538
	AI		International Search Report dated January 7, 2002, PCT/US01/17347